1. Purpose of this Complaints Policy

- 1. AMS Electrical welcomes and encourages feedback of all kinds from our customers. If you have a Complaint about our services, our customer service, or about our employees, not only do we want to resolve it to your satisfaction, but we also want to learn from it in order to improve our business and customer experience in the future.
- 2. It is our policy to resolve Complaints fairly, where possible without recourse to formal investigations or external bodies. In particular, the aims of this Complaints Policy are:
 - 1. To provide a clear and fair procedure for any customers who wish to make a Complaint about AMS Electrical, our services, our customer service, or about our employees.
 - 2. To ensure that everyone working for or with AMS Electrical knows how to handle Complaints made by our customers.
 - 3. To ensure that all Complaints are handled equally and in a fair and timely fashion.
 - 4. To ensure that important information is gathered from Complaints and used in the future to avoid such a situation arising again.

2. What this Complaints Policy Covers

- 1. This Complaints Policy applies to the provision of services/works completed by AMS Electrical, to our customer service and to our employees.
- 2. For the purposes of this Complaints Policy, any reference to AMS Electrical also includes our employees.
- 3. Complaints may relate to any of our activities and may include (but not be limited to):
 - 1. The quality of customer service you have received from AMS Electrical.
 - 2. The behaviour and/or professional competence of our employees.
 - 3. Delays, defects, poor workmanship, or other problems associated with the provision of services by AMS Electrical.
- 4. The following are not considered to be Complaints and should therefore be directed to the appropriate person.
 - 1. General questions about our services.
 - 2. Matters concerning contractual or other legal disputes.
 - 3. Formal requests for the disclosure of information, for example, under the Data Protection Act.

3. Making a Complaint

- 1. All Complaints, whether they concern our services, our customer service, or our employees, should be made in one of the following ways:
 - In writing, addressed to Anne Stevens Business Operations Manager, Office 1, Locking Road Business Park, 110 Locking Road, Weston super mare BS23 3HF.
 - 2. By email, addressed to Anne Stevens at info@amselctricalservices.co.uk
- 2. When making a Complaint, you will be required to provide the following information in as much detail as is reasonably possible:

- 1. Your name, address, telephone number and email address (We will contact you using your preferred contact method as your Complaint is handled).
- 2. If you are making a Complaint on behalf of someone else, that person's name and contact details as well as your own.
- 3. If you are making a Complaint about a particular employee of ours, the name of that employee.
- 4. Further details of your Complaint including, as appropriate, all times, dates, events, and people involved.
- 5. Details of any documents or other evidence you wish to rely on in support of your Complaint.
- 6. Details of what you would like AMS Electrical to do to resolve your Complaint and to put things right. (Please note that whilst we will make every reasonable effort to accommodate such requests, we are not bound to take any action beyond that which we may be contractually or otherwise legally obliged to take.)

4. How We Handle Your Complaint

1. AMS Electrical operates a two-stage complaints handling procedure. Following our Complaints Procedure, our aim is to always resolve Complaints to your satisfaction at Level One without further recourse to Level Two. If you are not satisfied at the end of Level One, you may escalate your Complaint to Level Two. If you are still not satisfied at the end of Level Two, you may escalate Complaints to External Resolution as detailed below.

2. Level One:

- 1. Upon receipt of your Complaint, the complaint handler will acknowledge receipt of it in writing within 5 working days.
- 2. When we acknowledge receipt of your Complaint, we will also provide details of your Complaint Handler. This may be the person to whom your original Complaint was directed (as above) or your Complaint may be assigned to another appropriate member of our team.
- 3. If your Complaint relates to a specific employee, that person will be informed of your Complaint and given a fair and reasonable opportunity to respond. Any communication between you and the employee in question should take place only via the Complaint Handler and we respectfully ask that you do not contact the employee in question directly concerning the Complaint while we are working to resolve it.
- 4. If we require any further information or evidence from you, the Complaint Handler will contact you as quickly as is reasonably possible to ask for it. We ask that you use reasonable efforts to supply any such information or evidence quickly in order to avoid delaying the complaints handling process. If you are for any reason unable to provide such information or evidence, we will use all reasonable efforts to proceed without it, however please be aware that we will not ask for further information or evidence unless we consider it important to the successful resolution of your Complaint.
- 5. We aim to resolve Level One Complaints within 10 working days, however in some cases, particularly if your Complaint is of a complex

- nature, this may not be possible. If this is not possible for any reason you will be informed of the delay, the likely length of the delay and the reasons for it.
- 6. At the conclusion of the Level One complaints procedure, regardless of the outcome, we will provide you with details of our conclusions from any investigation, and any action taken as a result. You will also be reminded of your right to appeal our decision and escalate the complaint to Level Two in the form of an Appeal.

3. Level Two:

- 1. If you are not satisfied with the resolution of your complaint at Level One, you may appeal the decision within 5 working days, and have the complaint escalated to Level Two. Appeals are handled by a member of the senior management team.
- 2. Appeals should be directed to your original Complaint Handler who will forward the request to an appropriate Appeal Handler. Receipt of Appeals will be acknowledged in writing within 3 working days. When we acknowledge receipt of your Appeal, we will also provide details of your Appeal Handler.
- 3. If your Complaint relates to a specific employee, that person will be informed of your Appeal and given a further opportunity to respond. Any communication between you and the employee in question should take place only via the Appeal Handler and we respectfully ask that you do not contact the employee in question directly concerning the Complaint while we are working to resolve it.
- 4. If we require any further information or evidence from you, the Appeal Handler will contact you as quickly as is reasonably possible to ask for it. We ask that you use reasonable efforts to supply any such information or evidence to us quickly in order to avoid delaying the complaints handling process. If you are for any reason unable to provide such information or evidence, we will use all reasonable efforts to proceed without it, however, please be aware that we will not ask for further information or evidence unless we consider it important to the successful resolution of your Complaint.
- 5. We aim to resolve Level Two Complaints within 15 working days, however in some cases, particularly if your Complaint is of a complex nature, this may not be possible. If this is not possible for any reason you will be informed of the delay, the likely length of the delay and the reasons for it.
- 6. At the conclusion of the Level Two procedure, regardless of the outcome, we will provide you with our conclusions from that investigation, and any action taken as a result. Our decision at this stage is final.

4. External Resolution (ADR):

- If you are not satisfied with the resolution of your Complaint at Level
 Two you may seek External Resolution of your Complaint For any
 Electrical compliance complaints you are able to escalate your
 complaint directly to the NIC
 - EIC, <u>www.niceic.com/find-a-contractor/help-and-advice/contractors-a</u>

<u>nd-the-niceic/how-to-complain-about-an-niciec-contractor</u>. If your complaint does not relate to the compliance of electrical work you may choose to contact Citizens

Advise www.citizensadvice.org.uk/consumer/alternative-dispute-resolution/settling-out-of-court/using-alternative-dispute-resolution-adr/

5. Confidentiality and Data Protection

- 1. All Complaints and information relating thereto are treated with the utmost confidence. Such information will only be shared with parties who need to know in order to handle your Complaint. If your complaint is relevant to a council funded scheme details of your complaint may be shared with them.
- 2. We may ask for your permission to use details of your Complaint (with your personal details removed) for internal training and quality improvement purposes. If you have given such permission, you may revoke it at any time by contacting Anne Stevens at the Office address.
- 3. All personal information that we may collect (including, but not limited to, your name and address) will be collected, used and held in accordance with the provisions of the Data Protection Act and your rights under that Act.

6. Questions and Further Information

1. If you have any questions or require further information about any aspect of this Complaints Policy or about our Complaints Procedure, please contact Anne Stevens by post at Office 1, Locking Road Business Park, 110 Locking Road, Weston super mare BS23 3HF, by telephone on 01934 520116, or by email at info@amselectricalservices.co.uk.

7. **Definitions**

1. In this Complaints Policy the following expressions have the following meanings:

| "Appeal" | means your request to escalate a Complaint from Level One to Level Two if you are not satisfied with the outcome at Level One; |
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| "Appeal Handler" | means an employee of AMS Electrical working at Director or senior management level who will handle Level Two Complaints; |
| "Business Day" | means, any day (other than Saturday or Sunday) on which ordinary banks are open for their full range of normal business, excluding December 27th, 28th, 29th, 30th, 31st |
| "Complaint Handler" | means an employee of AMS Electrical who will handle Level One Complaints; |
| "External Resolution" | means the referral of your Complaint to an external body or organisation for resolution if you are not satisfied with the outcome at Level Two; |